Abstract

EARL is a high-level interface for accessing EPILOG event traces and can be used to write advanced trace-analysis software. EARL provides random access to single events and computes the execution state at the time of a given event as well as links between pairs of related events. EARL is implemented in C++ and offers a C++ and a Python class interface. This document describes the abstractions in terms of which the event trace is represented in EARL and how to efficiently access them using the C++ or Python API.

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1 Introduction

An event trace is a chronologically sorted sequence of runtime events recorded during program execution that can be used to analyze program behavior. In the KOJAK performance-analysis environment [5, 6], event traces are used to identify patterns of inefficient execution.

KOJAK stores the event traces generated at runtime in the EPILOG binary trace-data format [7]. EPILOG traces consist of definition records and time-stamped event records. Event records describe the dynamic program behavior and reference objects that are defined in definition records. By letting event records store only references to those objects, trace file size can be reduced since an object, such as a region, is referenced many times.

To simplify the development of advanced trace-analysis software, KOJAK provides EARL (Event Analysis and Recognition Library), a high-level interface for accessing and processing EPILOG event traces. EARL offers the following functionality:

- Random access to single events
- Access to the execution state at the time of a given event
- Links between pairs of related events
- Access to the application-level topologies.
- Various statistical functions

EARL can be used for a large variety of trace-analysis tasks. The main purpose of EARL within KOJAK is to simplify the specification of execution patterns representing performance problems within the EXPERT analyzer [8] and, thus, to allow an easy extension and customization of the pattern base used in the analysis process. The first prototype of EARL was completed in 1998 as part of a master’s thesis [4].

Section 2 introduces the abstractions in terms of which an event trace is presented to the user. EARL is implemented in C++ and offers a C++ and a Python class interface. The Python interface’s main advantage is the ability to use EARL interactively, which is useful especially for those unfamiliar with the abstractions it provides. Section 3 explains how to use the C++ API and gives a small code example. After that, Section 4 presents the internal buffer mechanisms that support efficient random access and tells how to configure them for maximum efficiency. Finally, Section 5 briefly describes the Python binding and how it differs from the C++ binding.

Important: This version of EARL supports EPILOG version 1.1, which is included in KOJAK version 2.0b. Please see the EPILOG 1.1 specification $(PREFIX)/doc/epilog.ps for details.

2 Data Model

EARL is based on a simple object-oriented data model, whose simplicity is derived from the fact that all higher-level abstractions, such as execution states and links between related events, are expressed in terms of event sets or event references, thus never leaving the familiar notion of an event.

$^{1\text{$(PREFIX)$ is the KOJAK installation directory.}}$
2.1 Abstractions

An event trace is a chronologically sorted sequence of events representing one program run of an MPI, OpenMP, or hybrid application. The event trace offers random access to its events including the execution state at the time of a given event, as well as information on program and system resources involved in the program execution, such as source-code regions and processes.

The central abstraction in EARL is an event. Every event has a type, a time stamp, and a location, which answers the questions what happened, when it happened, and where it happened, respectively. In addition, an event may provide type-specific attributes including links to related events.

The program resources represented in an event trace include files, regions, and call sites. A file is simply a string containing a file name. A region is a source-code section that can represent a function, a loop, an OpenMP construct, or an arbitrary user-defined section. A call site is a source-code location where the control flow moves from one region to another. Although it is called “call site”, it does not need to be involved in a function call. In EARL, a loop entry can also be a call site because the control flow moves from the enclosing region to the the loop.

The system resources associated with an event trace form a hierarchy consisting of machines, nodes, processes, and threads. Machines can be made up of multiple (potentially SMP) nodes. Each node can host multiple processes, which in turn can spawn multiple threads. This model mirrors one or more parallel computers with SMP nodes (Figure 1) and can also accommodate more traditional non-SMP, single-SMP, or simple desktop architectures. An event location is a tuple consisting of a machine, a node, a process, and a thread. A location is basically a thread that includes information on the process, the node, and the machine it is associated with. A single-threaded process always has one explicit thread because in EARL the thread level is mandatory. An MPI communicator is a special type of resource referenced by MPI communication events and is modeled as a group of processes. A virtual topology is another special type of resource which maps the processes and threads onto the application domain and defines a neighborhood relationship among them. EARL currently supports only Cartesian grid topologies.

Also, some events may store the values of certain system metrics, such as the number of floating point operations executed. A metric may represent the count of event occurrences (e.g., from a hardware counter) across an interval, an occurrence rate measured across an interval, or the current value of a metric, such as the current memory utilization.
2.2 Event Model

The event model is defined by a hierarchy of abstract and concrete event types, which is shown in Figure 2 using UML notation [1]. Abstract event types do not appear in the event trace, they are used only to isolate commonalities in the model. In the figure, abstract event types have been distinguished by writing the type names in italics. The arrows illustrate an inheritance relationship with respect to the type attributes, that is, an event type inherits all attributes from its ancestors. Hatched boxes represent MPI-specific types, whereas spotted boxes represent OpenMP-specific types. Table 1 explains the semantics of the event types and attributes.

In addition to the attributes listed in Table 1, REGION events may also carry values of system metrics, such as hardware counters. If the event trace defines metrics, every FLOW event is required to carry one value for each system metric defined in the trace.

To be able to interpret the records contained in an EPILOG event trace, EARL relies on the following validity constraints, which are already part of the EPILOG specification, but which are repeated here for clarity:

- The regions must be left in the opposite order they are entered. That is, the region that has been entered last must be left first.

- FORK and JOIN events are only generated by the master thread. The FORK event before entering and the JOIN event after leaving the parallel region.

- A SEND event must always appear before its corresponding RECV event.

- In hybrid MPI/OpenMP applications, SEND and RECV events are only allowed to be generated by the master thread.
Table 1: Event types and attributes.

<table>
<thead>
<tr>
<th>Event Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>EVENT</td>
<td>(abstract) general event</td>
</tr>
<tr>
<td>FLOW</td>
<td>(abstract) change of control flow</td>
</tr>
<tr>
<td>ENTER</td>
<td>entering a region</td>
</tr>
<tr>
<td>EXIT</td>
<td>leaving a region</td>
</tr>
<tr>
<td>MPI</td>
<td></td>
</tr>
<tr>
<td>MPICEXIT</td>
<td>leaving an MPI collective communication operation including a barrier</td>
</tr>
<tr>
<td>P2P</td>
<td>(abstract) MPI point-to-point communication</td>
</tr>
<tr>
<td>SEND</td>
<td>sending a message</td>
</tr>
<tr>
<td>RECV</td>
<td>receiving a message</td>
</tr>
<tr>
<td>OpenMP</td>
<td></td>
</tr>
<tr>
<td>TEAM</td>
<td>(abstract) change of parallelism</td>
</tr>
<tr>
<td>FORK</td>
<td>starting a parallel region</td>
</tr>
<tr>
<td>JOIN</td>
<td>terminating a parallel region</td>
</tr>
<tr>
<td>SYNC</td>
<td>(abstract) lock synchronization</td>
</tr>
<tr>
<td>ALOCK</td>
<td>lock acquisition</td>
</tr>
<tr>
<td>RLOCK</td>
<td>lock release</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>cedgeptr</td>
<td>least recent ENTER event visiting the parent call path</td>
</tr>
<tr>
<td>cnodeptr</td>
<td>least recent ENTER event visiting the same call path</td>
</tr>
<tr>
<td>csite</td>
<td>call site</td>
</tr>
<tr>
<td>enterptr</td>
<td>ENTER event of the enclosing region instance</td>
</tr>
<tr>
<td>loc</td>
<td>location</td>
</tr>
<tr>
<td>pos</td>
<td>relative position (1-n) within the event trace</td>
</tr>
<tr>
<td>reg</td>
<td>region</td>
</tr>
<tr>
<td>time</td>
<td>time stamp</td>
</tr>
<tr>
<td>type</td>
<td>event type</td>
</tr>
<tr>
<td>MPI</td>
<td></td>
</tr>
<tr>
<td>com</td>
<td>communicator associated with a communication operation</td>
</tr>
<tr>
<td>dest</td>
<td>destination location of a message</td>
</tr>
<tr>
<td>length</td>
<td>message length</td>
</tr>
<tr>
<td>recvld</td>
<td>bytes received during a collective operation</td>
</tr>
<tr>
<td>root</td>
<td>root location of an MPI collective operation</td>
</tr>
<tr>
<td>sendptr</td>
<td>SEND event to a given RECV event</td>
</tr>
<tr>
<td>sent</td>
<td>bytes sent during a collective operation</td>
</tr>
<tr>
<td>src</td>
<td>source location of a message</td>
</tr>
<tr>
<td>tag</td>
<td>message tag</td>
</tr>
<tr>
<td>OpenMP</td>
<td></td>
</tr>
<tr>
<td>forkptr</td>
<td>FORK event to a given JOIN event</td>
</tr>
<tr>
<td>lock_id</td>
<td>identifier of the lock object used for synchronization</td>
</tr>
<tr>
<td>lockptr</td>
<td>SYNC event that performed the last change of a lock’s ownership status</td>
</tr>
</tbody>
</table>
2.3 Higher-Level Abstractions

To simplify the development of trace-analysis tools, EARL provides the following higher-level abstractions that are useful to easily identify related events:

- Pointer attributes
- Execution states

Pointer attributes, the first class of higher level abstractions, are event attributes that refer to another related event. For example, the attribute sendptr points from a 
RECV event to the corresponding SEND event. In Figure 2, pointer attributes are printed in bold face. They can be identified in Table 1 by a name ending in “ptr”.

The second class of higher-level abstractions reflects different aspects of the program’s overall execution state. The overall execution state consists of a set of (component) states, each of which represents one aspect of the overall state, such as the call stack or the message queue. EARL models each component state as a set of events. These sets are stepwise transformed by the sequence of events making up the trace file. That is, an event causes a state transition altering the event set representing the component state by either removing elements and/or adding itself to the set. Thus, for every component state, an event trace defines a state sequence. The initial state is always the empty set. Transition rules define how a state is transformed by an event into its successor state.

For example, EARL maintains a region (call) stack for every location. The initial stack is empty. Whenever an ENTER event occurs, it is added to the stack, and whenever an EXIT event occurs, the corresponding ENTER event is removed from the stack. Note that the state set derives its stack structure from the implicit ordering of events. For more information on the underlying theory please refer to [5]. EARL provides the following state information:

- One region stack per location that remembers all ENTER events of active region instances at a location.
- One inherited region stack per location that remembers all ENTER events of active region instances at a given location. If the location represents a slave thread, EARL adds the (inherited) region stack of the corresponding master at the time when the process starts multi-threaded execution (i.e., the time of the preceding FORK event). This is needed to track the stack of a slave all the way up to the main function even if it was created somewhere in the middle of execution.
- One message queue per location pair (src, dest) that remembers all SEND events of messages currently being transferred from src to dest. According to the restriction that MPI statements are only allowed to be executed by the master thread, there are message queues only for source and destination locations that represent master threads.
- All MPICEXIT events belonging to the same instance of an MPI collective communication operation including a barrier that has just been completed.
- All OMPCEXIT events belonging to the same instance of an OpenMP parallel construct that has just been completed.
- The call tree encoded as the set of ENTER events having visited a certain call path for the first time.
3 C++ API

Before using the C++ API, you need to include <earl.h> in your source code, which will be located in the following directory after installing KOJAK:

```
$(PREFIX)/include/earl
```

To generate an executable EARL application, you also need to link against the EARL and the EPILOG base library:

```
-L$(PREFIX)/lib -learl -lelg.base
```

3.1 class EventTrace

This class provides random access to all events in the trace file including the execution state at the time of a given event. EARL includes buffer mechanisms to minimize the number of file accesses and to make unavoidable file accesses faster when retrieving a particular event.

The class also provides information on program and system resources involved in the program execution. Program and system resources consist of regions, call sites, machines, (SMP) nodes, processes and threads, MPI communicators, Cartesian topologies and metrics. Except for nodes, and threads, all resources have a unique identifier between zero and \( n - 1 \), with \( n \) being the total number of resources of each type defined in the trace. The identifier of nodes and threads is also a number between zero and \( n - 1 \), but it is local to the machine or process it belongs to and \( n \) would be the number per machine or process. A location is a tuple \((machine, node, process, thread)\). There is one location per thread, that is, a location represents a thread and also includes the upper-level system resources the thread belongs to. Locations have unique global identifiers from zero to \( n - 1 \), where \( n \) is the total number of locations (i.e., threads).

Events are assigned a relative position from 1 to \( n \). Execution states can refer to any event position plus zero, which corresponds to the initial state.

Methods that take an identifier in order to return the corresponding object will throw an exception of type `RuntimeError` if no such identifier exists.

3.1.1 Constructor

```
EventTrace(std::string path);
```

Creates an event-trace object from an EPILOG trace file, whose path name is supplied as argument.

3.1.2 Methods to access program and system resources

```
long get_nfiles() const;
```

Returns the total number of source-code files.

```
std::string get_file(long file_id) const;
```
Returns the name of the file with identifier `file_id`.

```cpp
long get_nregs() const;

Returns the total number of code regions.
```

```cpp
Region* get_reg(long reg_id) const;

Returns the region with identifier `reg_id`.
```

```cpp
long get_ncsites() const;

Returns the total number of call sites.
```

```cpp
Callsite* get_csite(long csite_id) const;

Returns the call site with identifier `csite_id`.
```

```cpp
long get_nmachs() const;

Returns the total number of machines.
```

```cpp
Machine* get_mach(long mach_id) const;

Returns the machine with identifier `mach_id`.
```

```cpp
long get_nnodes() const;

Returns the total number of (SMP) nodes across all machines.
```

```cpp
Node* get_node(long mach_id, long node_id) const;

Returns the (SMP) node with machine-specific identifier `node_id` belonging to machine `mach_id`.
```

```cpp
long get_nprocs() const;

Returns the total number of processes used during execution.
```

```cpp
Process* get_proc(long proc_id) const;

Returns the process with identifier `proc_id`.
```

```cpp
long get_nthrds() const;

Returns the total number of threads across all processes used during execution.
```

```cpp
Thread* get_thrd(long proc_id, long thrd_id) const;

Returns the thread with process-specific identifier `thrd_id` belonging to process `proc_id`.
```

```cpp
Location* get_loc(long loc_id) const;

Returns the location with identifier `loc_id`.
```

```cpp
long get_ncoms() const;

Returns the total number of MPI communicators.
```

```cpp
Communicator* get_com(long com_id) const;
```
Returns the MPI communicator with identifier \texttt{com\_id}.

\begin{verbatim}
long get_ncarts() const;
\end{verbatim}

Returns the total number of Cartesian topologies.

\begin{verbatim}
Cartesian* get_cart(long cart\_id) const;
\end{verbatim}

Returns the Cartesian topology with identifier \texttt{cart\_id}.

\begin{verbatim}
void get_coords(std::vector<long>& out, long cart\_id, long loc\_id) const;
\end{verbatim}

Returns in \texttt{out} the coordinates of the location with identifier \texttt{loc\_id} in the Cartesian topology with identifier \texttt{cart\_id}. The coordinates are specified by vector \texttt{out} in the order of dimensions (i.e., first dimension first, etc.).

\begin{verbatim}
Location* get_loc(std::vector<long>& in, long cart\_id) const;
\end{verbatim}

Returns the location at given coordinates in the Cartesian topology with identifier \texttt{cart\_id}. The coordinates are specified by vector \texttt{in} in the order of dimensions (i.e., first dimension first, etc.).

\begin{verbatim}
long get_nmets() const;
\end{verbatim}

Returns the number of metrics defined in the trace.

\begin{verbatim}
Metric* get_met(long met\_id) const;
\end{verbatim}

Returns the metric with identifier \texttt{met\_id}.

### 3.1.3 Methods to access events

\begin{verbatim}
long get_nevents() const;
\end{verbatim}

Returns the total number of events in the trace (i.e., the number of event positions).

\begin{verbatim}
Event event(long pos);
\end{verbatim}

Returns the event at position \texttt{pos}. Note that the event object is not returned as a pointer because it is a smart object containing only a reference. (See section on class \texttt{Event} for more details.)

### 3.1.4 Methods to query the execution state

All methods to query the execution state have an output parameter of type \texttt{std::vector<Event>\&} which returns a list of events in ascending chronological order. The list reflects the state at the moment immediately after the event at position \texttt{pos} took place. The input parameter \texttt{pos} can be any number between zero and the total number of events. If zero is specified, the methods will return the initial state, which is always empty.

\begin{verbatim}
void stack(std::vector<Event>& out, long pos, long loc\_id);
\end{verbatim}

Returns in \texttt{out} all \texttt{ENTER} events belonging to active region instances at location \texttt{loc\_id}, which corresponds to the (region) call stack at this location.
void istack(std::vector<Event>& out, long pos, long loc_id);

Returns in out the result of stack() if loc_id is a master thread. If loc_id is a slave thread, istack() adds (i.e., inherits) the stack of the master at the time when the process starts multi-threaded execution (i.e., the time of the preceding FORK event).

void queue(std::vector<Event>& out, long pos, long src_id = -1, long dest_id = -1);

Returns in out all SEND events of messages currently in transit from location src_id to location dest_id. Specifying -1 for one of the end points is interpreted as from any or to any location.

void mpicoll(std::vector<Event>& out, long pos);

Returns in out all mpicexit events belonging to an instance of a MPICEXIT collective communication operation or a barrier that has been completed by the event at position pos. If the event at this position did not complete such an operation, out is left empty.

void ompcoll(std::vector<Event>& out, long pos);

Returns in out all ompcexit events belonging to an instance of an OpenMP parallel construct or a barrier that has been completed by the event at position pos. If the event at this position did not complete such an operation, out is left empty.

3.1.5 Methods to query the call tree

Similar to the call stack, the call tree is also considered as an aspect of the execution state. The call tree is empty at the beginning and evolves as execution progresses. The results returned by the methods taking a position parameter do not reflect any portions of the trace following the event at the specified position. Specifying -1 as the position is equivalent to specifying the last position. Only methods that do not need a position parameter automatically reflect the entire trace. Note that those methods may require EARL to read the entire trace file.

The call tree is modeled as the set of ENTER events that visit a call path the first time during the entire run regardless of the location. That is, there is only one call tree for all locations. A call-tree node is an ENTER event which is part of the call tree. The call tree may have multiple roots, for example, if a parallel program was started using multiple different executables. Note that the call tree consists of a subset of all ENTER events. The functions listed below that require a parameter named cnode, expect the position of an ENTER event that is part of this subset.

The cnodeptr attribute of an ENTER event points to the ENTER event that visited the current call-tree node the first time. Thus, a member of the call tree can be easily identified by a cnodeptr attribute pointing to itself. While the cnodeptr attribute points to the current node, the cedgeptr attribute points to the parent node.

void calltree(std::vector<Event>& out, long pos = -1);

Returns in out all ENTER events belonging to the call tree.

void ctroots(std::vector<Event>& out, long pos = -1);

Returns in out all ENTER events representing roots of the call tree.
void callpath(std::vector<Event>& out, long cnode);

Returns in `out` the sequence of call-tree nodes (i.e., ENTER events) from the root to the node with position `cnode`.

void ctchildren(std::vector<Event>& out, long cnode);

Returns in `out` the child nodes (i.e., ENTER events) of the node with position `cnode`.

long ctvisits(long cnode);

Returns the number of times the call-tree node with position `cnode` was visited.

long ctsize(long pos = -1);

Returns the size of the call tree as the number of events that would be returned by `calltree()`.

3.2 class Event

This class represents an event and provides methods to access event attributes. The class covers all event types, and there are no subclasses accessible to the user. Trying to access an attribute that this not defined for the type of a particular event will result in `NULL` being returned if the attribute value is supposed to be an object, `-1` if it is supposed to be an integer number, or a null event (i.e., an event with an empty reference) if it is supposed to be an event. Which attributes are defined for which event type can be seen in Figure 2.

From the programmer’s viewpoint, the type of an event has two representations: a string representation and an enumeration type representation `etype`. The former one uses a string identical to the type name used in Figure 2 (e.g., `'ENTER'`). The latter use an enumeration constant whose name is identical to the string constant (e.g., `'ENTER'`).

To minimize the runtime and storage overhead of copying, instances of this class maintain only a reference to the actual object representation, which itself includes a reference counter to control its life cycle. The behavior of all methods is defined as long as the `EventTrace` object from where the event was retrieved exists. An event without a valid reference to an event object is called a null event. To check whether an event is a null event, use the `null()` method.

3.2.1 Event attributes

long get_pos() const;

Returns the relative position of the event.

Location* get_loc() const;

Returns the location of the event.

double get_time() const;

Returns the time stamp of the event.

etype get_type() const;

Returns the event type’s enumeration type representation.
std::string get_typestr() const;
    Returns the event type’s string representation.

bool is_type(etype type) const;
    Returns true if the event type is the same as or a subtype of the one supplied as argument and false otherwise.

Region* get_reg() const;
    Returns the region entered or left or NULL if the event has no region attribute.

Callsite* get_csite() const;
    Returns the call site of an ENTER event. Since the call site attribute is optional for ENTER events, an ENTER event may also return NULL. If the event is no ENTER event, this method returns null.

Location* get_src() const;
    Returns the sender’s location of a message.

Location* get_dest() const;
    Returns the receiver’s location of a message.

Communicator* get_com() const;
    Returns the communicator of an MPI communication operation.

long get_tag() const;
    Returns the message tag.

long get_length() const;
    Returns the message length in bytes.

Location* get_root() const;
    Returns the root location of an MPI collective communication operation.

long get_sent() const;
    Returns the number of bytes sent during an MPI collective communication operation.

long get_recvd() const;
    Returns the number of bytes received during an MPI collective communication operation.

long get_lock_id() const;
    Returns the lock identifier of the OpenMP lock accessed by the event. Please note that the identifier is only used to distinguish between different locks. There are actually no lock objects in EARL. Also note that lock identifier do not need to be unique across different processes.

long get_nmets() const;
Returns the number of metrics carried by the event. The return value will be either zero or equal to the total number of metrics.

```cpp
std::string get_metname(long i) const;
```

Returns the name of metric with identifier `i`. If this metric is not defined, a `RuntimeError` exception is thrown.

```cpp
double get_metval(long i) const;
```

Returns the value for metric with identifier `i`. If this metric is not defined, a `RuntimeError` exception is thrown.

### 3.2.2 Pointer attributes

```cpp
Event get_enterptr() const;
```

Returns the `ENTER` event of the currently active region instance. In the case of an `EXIT` event, this is the corresponding `ENTER` event. If there is no enclosing region instance, a null event is returned.

```cpp
Event get_cnodeptr() const;
```

Returns the `ENTER` event that has visited the current call path the first time regardless of the location. The event returned represents the call-tree node currently visited.

```cpp
Event get_cedgeptr() const;
```

Returns the `ENTER` event that has visited the parent call path the first time across all locations. The event returned represents the parent node of call-tree node currently visited. If there is no parent node, a null event is returned. You can use the `null()` method to check whether the event returned is a null event.

```cpp
Event get_sendptr() const;
```

Returns the `SEND` event of the message received by a `RECV` event.

```cpp
Event get_lockptr() const;
```

Returns the `SYNC` event that accessed the same OpenMP lock object immediately before the current event. If the lock was never accessed before, a null event is returned. You can use the `null()` method to check whether the event returned is a null event.

```cpp
Event get_forkptr() const;
```

Returns the `FORK` event generated at the beginning of a parallel region closed by a `JOIN` event.

### 3.2.3 Miscellaneous

```cpp
bool null() const;
```

Returns true if the event object is a null event (i.e., does not point to a valid event representation) and otherwise false.

Also, the class supports comparison operators `==`, `!=`, `<`, `>`, `<=`, and `>=` that compare events based on their position, which means they only provide meaningful results when applied to events from the same trace, since the position is only comparable across the same event trace.
3.3 class Region

This class represents a source-code region. A region is characterized by a name, a file where it is defined, begin and end line numbers, a description, and its region type.

long get_id() const;
    Returns the region identifier.

std::string get_name() const;
    Returns the region name.

std::string get_file() const
    Returns the name of the file where the region is defined. If this information is not available, "UNKNOWN" is returned.

long get_begln() const;
    Returns the begin line number. If the this information is not available, -1 is returned.

long get_endln() const;
    Returns the end line number. If the this information is not available, -1 is returned.

std::string get_descr() const;
    Returns the region description.

std::string get_rtype() const;
    Returns the region type, which can be one of the following strings:
    "FUNCTION"
    "LOOP"
    "USER_REGION" (user defined region)
    "OMP_PARALLEL"
    "OMP_LOOP" (for/do construct)
    "OMP_SECTIONS" (sections construct)
    "OMP_SECTION" (individual section inside a sections construct)
    "OMP_WORKSHARE"
    "OMP_SINGLE"
    "OMP_MASTER"
    "OMP_CRITICAL"
    "OMP_ATOMIC"
    "OMP_BARRIER"
    "OMP_IBARRIER" (implicit barrier)
    "OMP_FLUSH"
    "OMP_CRITICAL_SBLOCK" (body of critical construct)
    "OMP_SINGLE_SBLOCK" (body of single construct)
    "UNKNOWN"
3.4 class Callsite

A call site is a line within a file where the control flow can move from one region to another.

```cpp
long get_id() const;
   Returns the call-site identifier.

std::string get_file() const;
   Returns the name of the file.

long get_line() const;
   Returns the line number.

Region* getcallee() const;
   Returns the region to which the control flow can move from the current region.
```

3.5 class Metric

A metric has a name and a description. It can represent an event count, an event rate, or a sample value. An event count or rate always refers to a measurement interval, whereas a sample refers to a distinct point in time. Although for simplicity EARL returns every metric as a double, EARL indicates whether the value is meant to be an integer or a floating-point value. If the metric values refer to an interval, EARL indicates how the interval is computed. Please also read the section on performance metrics in the EPILOG specification.

```cpp
long get_id() const;
   Returns the metric identifier.

std::string get_name() const;
   Returns the metric name. Note the EPILOG specifies predefined names for common hardware counters. Please refer to the EPILOG specification for details.

std::string get_descr() const;
   Returns the metric description.

std::string get_type() const;
   Tells whether the metric is an integer or a floating-point number by returning one of the following string constants:
      "INTEGER"
      "FLOAT"

std::string get_mode() const;
   Tells whether the metric represents an event count, an event rate, or a sample by returning one of the following string constants:
      "COUNTER"
```
"RATE"
"SAMPLE"

std::string get_ival() const;

If the metric represents an event count or rate, this method tells which interval the values refer to by returning one of the following string constants.

"START": interval since start of measurement on a location
"LAST": interval since last measurement on a location
"NEXT": interval to next measurement on a location

If the metric represents a sample, "NONE" is returned.

**Important:** Note that the EPIL0G library version 1.1 only generates metric information of type "COUNTER"/"INTEGER" measured from "START".

### 3.6 class Location

A location is a tuple consisting of a machine, a node, a process, and a thread. There is a one-to-one mapping between locations and threads. A location is basically a thread plus information on the upper levels of the system hierarchy.

```cpp
long get_id() const;
```

Returns the unique location identifier.

```cpp
Machine* get_mach() const;
```

Returns the machine.

```cpp
'Node* get_node() const;
```

Returns the node.

```cpp
Process* get_proc() const;
```

Returns the process.

```cpp
Thread* get_thrd() const;
```

Returns the thread.

### 3.7 class Machine

The machine class constitutes the top level of the system hierarchy. A machine consist of multiple nodes.

```cpp
long get_id() const;
```

Returns the unique machine identifier.

```cpp
std::string get_name() const;
```
Returns the machine name. If there is no name specified, the method returns "UNKNOWN".

```cpp
long get_nnodes() const;
```

Returns the number of nodes associated with the machine.

```cpp
Node* get_node(long node_id) const;
```

Returns the node with the identifier `node_id`. `node_id` is unique only within the machine and is a number between zero and `n - 1`, where `n` is the number returned by `get_nnodes()`.

### 3.8 `class Node`

A node is a physical part of a machine usually with a single address space and one or more CPUs. It can host a subset of an application’s processes. A node is uniquely identified by the identifier of the machine the node belongs to in combination with the machine-local node identifier.

```cpp
long get_mach_id();
```

Returns the identifier of the machine the node belongs to.

```cpp
long get_node_id() const;
```

Returns the node identifier. This identifier is local to the machine the node is associated with.

```cpp
std::string get_name() const;
```

Returns the node name. If there is no name specified, the method returns "UNKNOWN".

```cpp
long get_ncpus() const;
```

Returns the number of CPUs. Please note that the event trace might not contain the real number of CPUs, but a number greater or equal to the actual number used by the application.

```cpp
double get_clckrt() const;
```

Returns the clock rate of the node in cycles per second or zero if this information is unavailable.

```cpp
Machine* get_mach() const;
```

Returns the machine the node belongs to.

```cpp
long get_procs() const;
```

Returns the number of processes hosted by the node.

### 3.9 `class Process`

A process may spawn multiple threads. A process has at least one thread.

```cpp
long get_id() const;
```

Returns the unique process identifier. For MPI applications, the process identifier is equal to the rank in `MPI_COMM_WORLD`. 

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std::string get_name() const;
    Returns the process name. If there is no name specified, the method returns "UNKNOWN".

Node* get_node() const;
    Returns the node the process belongs to.

long get_nthrds() const;
    Returns the number of threads spawned by the process.

Thread* get_thrd(long thrd_id) const;
    Returns the thread with the identifier thrd_id. thrd_id is unique only within the process and is a number between zero and n − 1, where n is the number returned by get_nthrds().

Location* get_loc() const;
    Returns the location corresponding to thread zero.

3.10 class Thread

A thread is uniquely identified by the identifier of the process the thread belongs to in combination with the process-local thread identifier.

long get_thrd_id() const;
    Returns the thread identifier. This identifier is local to the machine the node is associated with. For OpenMP applications, the thread identifier is equal to the thread number returned by omp_get_thread_num(). Note that neither EARL nor EPILOG support nested thread parallelism.

long get_proc_id() const;
    Returns the identifier of the process the thread belongs to.

std::string get_name() const;
    Returns the thread name. If there is no name specified, the method returns "UNKNOWN".

Process* get_proc() const;
    Returns the process the thread belongs to.

Location* get_loc() const;
    Returns the location of the thread.

3.11 class Communicator

EARL represents a communicator as a group of processes with an ordering defined by the rank of each process.

long get_id() const;
Returns the unique communicator identifier.

```cpp
long get_rank(Location* loc) const;
```

Returns the rank of a location’s process within the communicator. If the location is not part of the communicator, an exception of type `RuntimeError` is thrown.

```cpp
long get_nprocs() const;
```

Returns the size of the communicator.

```cpp
Process* get_proc(long rank) const;
```

Returns the process that corresponds to rank `rank`.

### 3.12 class Cartesian

A virtual Cartesian topology is defined as an n-dimensional Cartesian grid. The Cartesian grid may have one or more locations (i.e., processes or threads) in each dimension. Moreover, the Cartesian grid may or may not be periodic in each dimension. There is a one-to-one mapping between the locations in the topology and its coordinates in the Cartesian grid. The coordinates are specified as a vector of integers $0 - (n - 1)$ with $n$ being the number of locations in the respective dimension. The order of the vector elements corresponds to the order of dimensions.

```cpp
long get_id() const;
```

Returns the unique Cartesian topology identifier.

```cpp
Communicator* get_com() const;
```

If the topology was created using `MPI_CART_CREATE`, the method returns the corresponding communicator created during the MPI call. Otherwise `NULL` is returned.

```cpp
long get_ndims() const;
```

Returns the number of dimensions in the grid.

```cpp
void get_dimv(std::vector<long>& out) const;
```

Returns in `out` the number of locations in each grid dimension. Note that the size of `out` is equal to `ndims`.

```cpp
void get_periodv(std::vector<bool>& out) const;
```

Returns in `out` the periodicity in each grid dimension. Note that the size of `out` is equal to `ndims`. A boolean value of true indicates that the dimension is periodic.

```cpp
void get_coords(std::vector<long>& out, Location* loc) const;
```

Returns in `out` the coordinates of the location `loc` in the Cartesian grid. Note that the size of `out` is equal to `ndims`.

```cpp
Location* get_loc(std::vector<long>& coordv) const;
```

Returns the location corresponding to the coordinates represented by `coordv` in the Cartesian grid.
3.13 class P2Statistics

This class integrates some basic statistical functions of a data set and can be used to calculate quantiles of a very large number of values like the execution times of all instances of a particular region or the sizes of messages. The quantiles are estimates computed with the $P^2$ algorithm [2] which makes it unnecessary to store the complete data set. Thus, the size of an P2Statistic object is very small and always constant. Use of the $P^2$ algorithm is the reason for naming the class P2Statistic.

3.13.1 Constructor

P2Statistic();

Creates a statistics object.

3.13.2 Methods to manage the data set

void add(double val);

Adds a numeric value to the data set.

void reset();

Reinitializes the object. After applying this operation the data set is empty again.

long count() const;

Returns the cardinality of the data set, i.e. the number of values added so far.

3.13.3 Quantiles

double med() const;

Returns the median of the data set. The return value is an estimate computed with the $P^2$ algorithm. Requires at least five elements in the data set.

double q25() const;

Returns the 25% quantile of the data set. The return value is an estimate computed with the $P^2$ algorithm. Requires at least five elements in the data set.

double q75() const;

Returns the 75% quantile of the data set. The return value is an estimate computed with the $P^2$ algorithm. Requires at least five elements in the data set.
3.13.4 Miscellaneous

double min() const;
    Returns the minimum of the data set. This operation requires at least one element in the data set.

double max() const;
    Returns the maximum of the data set. This operation requires at least one element in the data set.

double mean() const;
    Returns the mean value of the data set. This operation requires at least one element in the data set.

double sum() const;
    Returns the sum of the elements in the data set. This operation requires at least one element in the data set.

double var() const;
    Returns the variance of the elements in the data set. This operation requires at least one element in the data set.

3.14 Exceptions

EARL provides two different exception classes RuntimeError and FatalError, which are both subclasses of class Error. A runtime error is thrown if a method is used the wrong way, for example, by supplying undefined parameters. When a run-time error is thrown, the operation has failed, but the trace object is still usable. If a fatal error is thrown, the trace object has been corrupted and cannot be used anymore. Both classes provide a method to obtain an error message, which in most cases will deliver the name of the internal operation that failed.

std::string get_msg() const;
    Returns an error message associated with the exception.

3.15 Example

The following small example illustrates how to use the EARL C++ API. The program iterates through the event trace whose name is specified as a command-line argument and prints the location identifier and the type of each event.

#include <earl.h>
#include <iostream>

using namespace earl;
using namespace std;
int main(int argc, char* argv[]) {
    try {
        // open trace file
        EventTrace trace(argv[1]);

        // iterate through the trace
        for (int i = 1; i <= trace.get_nevents(); i++) {
            // retrieve event i
            Event event = trace.event(i);

            // print the event’s location and type
            cout << event.get_loc()->get_id() << ": " << event.get_typestr() << endl;
        }
    }
    catch (Error error) {
        // print error message and exit
        cerr << error.get_msg() << endl;
        exit(EXIT_FAILURE);
    }
}

4 Buffer Mechanisms

While reading events from the trace file, EARL dynamically builds up a sparse index structure. State information is stored at fixed intervals in so-called bookmarks to speed up random access to events. If a particular event is requested, EARL usually need not start reading from the beginning of the trace file in order to find it. Instead, the interpreter looks for the nearest bookmark and takes the state information required to correctly interpret the subsequent events from there. Then it starts reading the trace from that position until it reaches the desired event. The distance of bookmarks can be set using the following environment variable:

    EARL_BOOKMARK_DISTANCE  
    (default: 10000)

To gain further efficiency, EARL automatically caches the most recently processed events in the history buffer. The history buffer always contains a contiguous subsequence of the event trace and the state information referring to the beginning of this subsequence. Thus, all information related to events in the history buffer can be completely generated from the buffer including state information. The size of the history buffer can be set using another environment variable:

    EARL_HISTORY_SIZE  
    (default: 1000 * number of locations)

Note that choosing the right buffer parameters is usually a trade-off decision between access efficiency and memory requirements. In particular, for very long traces with many events or very wide
traces with many processes or threads, a readjustment of these parameters might be recommended.

## 5 Python API

The Python API is a wrapper around the C++ API that has been generated using SWIG [3]. The main advantage of the Python interface is that it enables rapid prototyping as well as interactive programming. To install the files needed for the Python interface, follow the steps explained in the KOJAK installation instructions. Before using it, make sure that your PYTHONPATH includes the KOJAK library directory $PREFIX/lib. After completing these steps, you can use EARL from Python by importing EARL using the Python import command.

```python
from earl import *

t = EventTrace("trace.elg")  # open trace file
for i in range(1, t.get_nevents()):  # iterate through the trace
    print e  # print event i
del t  # close trace file
```

### 5.1 Differences between the C++ and Python API

The easiest way to become familiar with the Python API is to use it interactively from the Python shell. The Python API differs from the C++ API in that only the classes EventTrace and P2Statistics have a corresponding Python classes. Objects of all other classes are represented as Python dictionaries. The dictionary keys correspond to C++ method names and the dictionary values to the C++ methods’ return values.

The dictionaries are not nested. Instead, references to other objects are expressed using identifiers. For example, a C++ Location object holds pointers to a machine, a node, a process, and a thread. The equivalent Python dictionary would hold a machine identifier, a node identifier, a process identifier, and a thread identifier.

```python
>>> print t.get_loc(1)
{'thrd_id': 2, 'mach_id': 0, 'node_id': 0, 'id': 3, 'proc_id': 0}
```

In most cases, you can translate from the C++ method name to the Python dictionary key simply by using the method name without the preceding get_. Python attributes holding an identifier generally end with _id. -1 is used to indicate the absence of a certain object. Also, C++ methods taking an argument are not represented in the dictionary. Table 2 and 3 list the Python dictionary keys used to resemble the C++ methods of the various object types.

Events. In dictionaries representing events, pointer attributes are expressed in terms of event positions. That is, wherever a method of C++ class Event returns an object of type Event, the Python dictionary contains only the event’s position. Null events are represented as -1.

```python
>>> t.event(32)
{'reg_id': 69, 'loc_id': 15, 'csite_id': -1, 'cedgeptr': 1,
```
Table 2: Python dictionary keys representing event attributes.

<table>
<thead>
<tr>
<th>Event</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>cedgeptr</td>
<td>position of the least recent ENTER event visiting the parent call path</td>
</tr>
<tr>
<td>cnodeptr</td>
<td>position of the least recent ENTER event visiting the same call path</td>
</tr>
<tr>
<td>csite_id</td>
<td>call site identifier</td>
</tr>
<tr>
<td>enterptr</td>
<td>position of the ENTER event of the enclosing region instance</td>
</tr>
<tr>
<td>loc_id</td>
<td>location identifier</td>
</tr>
<tr>
<td>pos</td>
<td>relative position (1-n) within the event trace</td>
</tr>
<tr>
<td>reg_id</td>
<td>region identifier</td>
</tr>
<tr>
<td>type</td>
<td>event type as a string</td>
</tr>
<tr>
<td>&lt;metname&gt;</td>
<td>value of metric &lt;metname&gt;</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>MPI</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>com_id</td>
<td>identifier of the communicator associated with a communication operation</td>
</tr>
<tr>
<td>dest_id</td>
<td>destination location identifier of a message</td>
</tr>
<tr>
<td>length</td>
<td>message length</td>
</tr>
<tr>
<td>recv</td>
<td>bytes received during a collective operation</td>
</tr>
<tr>
<td>root_id</td>
<td>root location identifier of an MPI collective operation</td>
</tr>
<tr>
<td>sendptr</td>
<td>position of the SEND event to a given RECV event</td>
</tr>
<tr>
<td>sent</td>
<td>bytes sent during a collective operation</td>
</tr>
<tr>
<td>src_id</td>
<td>source location of a message</td>
</tr>
<tr>
<td>tag</td>
<td>message tag</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>OpenMP</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>forkptr</td>
<td>position of the FORK event to a given JOIN event</td>
</tr>
<tr>
<td>lock_id</td>
<td>identifier of the lock object used for synchronization</td>
</tr>
<tr>
<td>lockptr</td>
<td>position of the SYNC event that performed the last change of a lock’s ownership status</td>
</tr>
</tbody>
</table>

'\text{'time'}: 0.11698729544878006, 'enterptr': 28, 'type': 'ENTER', 'pos': 32, 'cnodeptr': 3'}\text{'}

As shown here, the event attributes referring to other objects just contain their identifiers. Table 3 includes a list of all dictionary keys representing event attributes. Also, metric values carried by an event can be accessed using the metric name as the key.

**Event vectors.** Some methods of the C++ class `EventTrace` use an output argument of type `std::vector<Event>` to return a list of events. The equivalent Python method does not use an output parameter. Instead, a Python list with event positions is returned. The events themselves can be obtained later using the `event()` method.

```python
>>> t.stack(100, 0)
[2, 94]
```
**MPI communicators**  Communicators are represented in Python as lists holding one or more process identifiers. Hence, wherever a pointer to a communicator object is returned in C++, a list with process identifiers is returned in Python.

Table 3: Python dictionary keys corresponding to C++ methods.

<table>
<thead>
<tr>
<th>Python dictionary keys corresponding to C++ methods</th>
</tr>
</thead>
<tbody>
<tr>
<td>Metric</td>
</tr>
<tr>
<td>id</td>
</tr>
<tr>
<td>name</td>
</tr>
<tr>
<td>descr</td>
</tr>
<tr>
<td>type</td>
</tr>
<tr>
<td>mode</td>
</tr>
<tr>
<td>ival</td>
</tr>
<tr>
<td>Callsite</td>
</tr>
<tr>
<td>id</td>
</tr>
<tr>
<td>file</td>
</tr>
<tr>
<td>line</td>
</tr>
<tr>
<td>callee_id</td>
</tr>
<tr>
<td>Region</td>
</tr>
<tr>
<td>id</td>
</tr>
<tr>
<td>name</td>
</tr>
<tr>
<td>file</td>
</tr>
<tr>
<td>begin</td>
</tr>
<tr>
<td>end</td>
</tr>
<tr>
<td>rtype</td>
</tr>
<tr>
<td>descr</td>
</tr>
<tr>
<td>Machine</td>
</tr>
<tr>
<td>id</td>
</tr>
<tr>
<td>name</td>
</tr>
<tr>
<td>nnodes</td>
</tr>
<tr>
<td>Node</td>
</tr>
<tr>
<td>mach_id</td>
</tr>
<tr>
<td>node_id</td>
</tr>
<tr>
<td>name</td>
</tr>
<tr>
<td>ncpus</td>
</tr>
<tr>
<td>clockrt</td>
</tr>
<tr>
<td>nprocs</td>
</tr>
<tr>
<td>Process</td>
</tr>
<tr>
<td>id</td>
</tr>
<tr>
<td>name</td>
</tr>
<tr>
<td>nthrds</td>
</tr>
<tr>
<td>loc_id</td>
</tr>
<tr>
<td>node_id</td>
</tr>
<tr>
<td>Thread</td>
</tr>
<tr>
<td>proc_id</td>
</tr>
<tr>
<td>thrd_id</td>
</tr>
</tbody>
</table>
### Python dictionary keys corresponding to C++ methods (cont.)

<table>
<thead>
<tr>
<th>Key</th>
<th>C++ Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>name</td>
<td>thread name</td>
</tr>
<tr>
<td>loc_id</td>
<td>location identifier</td>
</tr>
</tbody>
</table>

**Cartesian**

<table>
<thead>
<tr>
<th>Key</th>
<th>C++ Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>id</td>
<td>cartesian topology identifier</td>
</tr>
<tr>
<td>com_id</td>
<td>identifier of the MPI communicator if the topology was created by MPI_CART_CREATE. -1 otherwise</td>
</tr>
<tr>
<td>ndims</td>
<td>number of dimensions</td>
</tr>
<tr>
<td>dimv</td>
<td>list containing the number of locations in each dimension</td>
</tr>
<tr>
<td>periodv</td>
<td>list containing the periodicity of the Cartesian grid in each dimension. The boolean value true in C++ is translated to the value 1 and false is translated to the value 0</td>
</tr>
</tbody>
</table>

### 6 Revision History

This section describes the difference between revisions of the EARL API.

#### 6.1 Revision 2.1

The changes include the provision of various modules and functions which help EARL read and interpret topology-related definition records in the EPILOG trace format.

- Class `Cartesian` representing an n-dimensional Cartesian grid added.
- Methods to access Cartesian topologies added to class `EventTrace`.

### References


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